All Cap Core Equity Thematic Sample Portfolio Holdings (Please see next page for theme descriptions.)

Theme/Security	% of Portfolio	Ticker
Mobile World	**17.65**	
ALPHABET	4.50	GOOGL
APPLE	7.75	AAPL
MASTERCARD	2.80	MA
PROLOGIS	2.60	PLD
Healthcare Revival	**8.25**	
KARUNA THERAPEUTICS INC	1.30	KRTX
STRYKER CORP	2.30	SYK
THERMO FISHER SCIENTIFIC	1.55	TMO
VERTEX PHARMACEUTICALS INC.	3.10	VRTX
Artificial Intelligence	**13.45**	
MICROSOFT CORP	6.90	MSFT
NVIDIA CORP.	4.95	NVDA
S&P GLOBAL INC	1.60	SPGI

Theme/Security	% of Portfolio	Ticker
Decarbonization	**11.60**	
CARRIER GLOBAL CORP.	1.40	CARR
CONSTELLATION ENERGY	2.75	CEG
ENPHASE ENERGY INC	1.25	ENPH
LINDE PLC	2.35	LIN
OCCIDENTAL PETROLEUM CORP	1.20	OXY
TESLA INC	2.65	TSLA
5G	**5.30**	
CROWN CASTLE	1.30	CCI
KEYSIGHT TECHNOLOGIES	1.35	KEYS
MICROCHIP TECHNOLOGY	2.65	MCHP
Demographic Dividends	**5.55**	
NEXTERA ENERGY	1.40	NEE
MCKESSON CORP	2.70	MCK
ROSS STORES INC.	1.45	ROST
Corporate Catalyst	**6.65**	
CELANESE CORP	2.15	CE
FEDEX CORP	1.00	FDX
MONDELEZ INTERNATIONAL INC-A	2.25	MDLZ
U-HAUL HOLDING CO	1.25	UHAL

Theme/Security	% of Portfolio	Ticker
Non-Thematic Holdings	**30.00**	
AUTOZONE INC	2.55	AZO
BERKSHIRE HATHAWAY	4.95	BRK/B
CHEVRON CORP	1.45	CVX
CONSTELLATION BRANDS	2.75	STZ
DISCOVER FINANCIAL SERVICES	1.50	DFS
HESS CORP	1.90	HES
HOME DEPOT INC	2.05	HD
NETFLIX INC	2.30	NFLX
OLD DOMINION FREIGHT LINE	3.35	ODFL
UNITEDHEALTH GROUP	2.20	UNH
ISHARES 0-3M TREASURY BOND ETF	5.00	SGOV
Cash	**1.55**	
TOTAL PORTFOLIO	**100.00**	

CI ROOSEVELT PRIVATE WEALTH

As of September 30, 2023

Representative Themes	Description
Mobile World	The mobile ecosystem continues to expand at a rapid speed, and we see myriad possibilities with seemingly endless innovation and new applications of connected technology. In our opinion, examples include: mobile payments, location-based and targeted advertising, as well as increased mobile media consumption. Additionally, smartphones are enabling access to the internet in the developing world, expanding the geographic base of beneficiaries to this change.
Corporate Catalysts	We believe management teams have been conservative following the recovery, and potential opportunities can arise during periods of corporate transition, as a result of M&A, spinoffs, and/or new management. Some activists have historically had good track records, and we look for situations where we believe the odds of success for an activist campaign are attractive. Activists have historically had good track records compared to their alternative investment peers, and they are beginning to put capital raised over the past few years to work. Therefore, we are selectively investing in situations where our analysis suggests that an activist proposal would add value and propel change in a timely fashion.
Healthcare Revival	We see the biotechnology and pharmaceutical industries showing renewed potential, with robust R&D pipelines and several recent notable FDA approvals. Companies working on novel, breakthrough therapies are in some cases finding a more accommodating FDA.
Demographic Dividends	As the baby boomer generation ages, we expect outsized population growth in the 65 to 85 year cohort over the next five years. We believe this will create new sources of demand across many sectors of the economy, including certain areas of healthcare, financial services, and discretionary spending.
5G	We believe 5G, the next generation of wireless communication standards, is a game-changer. It offers faster speeds, higher throughput, lower latency, and greater density of connected devices. This should support new use cases, possibly including fixed wireless access, smart homes, the Internet of things, advanced driver-assistance and augmented reality. Importantly, national security concerns have catalyzed a global race to adoption. We see potential winners across the supply chain, from infrastructure suppliers to application developers.
Artificial Intelligence	In our view, several factors have combined in recent years to accelerate the development and adoption of Artificial Intelligence. These include the global digitization of data; the provision of high-speed parallel processing and interconnect at data center scale; and the emergence of large language model algorithms. AI has already enabled significant advances in disparate fields, including recommendation engines, natural language processing, computer vision, autonomous drive vehicles, and protein folding. Consumer awareness and use has escalated in recent months with the launch of ChatGPT and Stable Diffusion. Yet we believe today's leading-edge products barely scratch the surface of what may be possible with this technology. We see a long runway ahead with value-creation opportunities across the AI supply chain.
Decarbonization	We believe the major global economies may be on the cusp of taking accelerated steps to decarbonize, or reduce the amount of carbon dioxide, methane, and other gases implicated in climate change. These steps may include regulation, taxation, and the creation of subsidies to drive adoption of beneficial technologies including renewable energy, electric vehicles, energy storage, carbon capture, and clean hydrogen.
Risk Mitigation Tools	Cash, Zero-Coupon Treasury (STRIPS) ETFs, TIPS ETFs, Precious Metal related securities, and natural hedges (securities Roosevelt believes are likely to improve the up/down capture characteristics of the portfolio).
Non-Thematic Holdings	At any given time individual securities may be picked for their unique risk/reward merits. Some holdings are possible components of future themes.

As of September 30, 2023

Themes assigned as per The Roosevelt Investment Group's evaluation.

All Cap Core Equity Disclosures

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Portfolio holdings are not static and are subject to change at any time, including throughout the quarter. Holdings shown for demonstration purposes only are derived from a sample portfolio selected at random. Any securities mentioned may be considered by Roosevelt Investments for purchase or sale in client and/or employee portfolios in the future. This portfolio model is for demonstration purposes only, and the holdings for a specific account may not be identical to this portfolio due to market conditions, account size, the ability of the custodian to hold certain securities, and other variables. The securities identified and described do not represent all of the securities purchased, sold or recommended for client accounts.

The portfolio may hold exchange-traded funds (ETFs), or exchange-traded notes (ETNs). Investors should consider the investment objective, risks, charges, and expenses associated with the funds, before investing. This and other information is found in the prospectus, which can be obtained through a financial advisor and/or the fund's sponsor.

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